

**APR 27 2007**

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**Fax****To:** Examiner Franzy Poinvil**Re:** 09/467,646 RCE**Firm:** United States Patent and Trademark Office**Date / Time:** April 27, 2007**Street Address:****Phone:****City, State Zip:** Alexandria, VA 22313**Fax:** (571) 273-8300**cc:****No. of Pages:** 19 (including cover)PRIVACY AND CONFIDENTIALITY NOTICE

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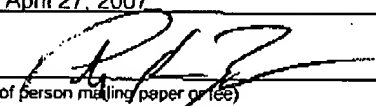
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I hereby certify that this correspondence is being filed by facsimile and addressed to MS: RCE, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

Date: April 27, 2007

  
(Signature of person mailing paper or fee)

PATENT

Paper No.

File: NewMrkt-P99-4

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Inventors	:	Anthony F. Herbst and Wayne F. Perg
Serial No.	:	09/467,646
Appeal No.:	:	2006-1174
Filed	:	December 20, 1999
For	:	DIGITAL COMPUTER SYSTEM FOR OPERATING A CUSTOMIZABLE INVESTMENT FUND
Group Art Unit	:	3692
Examiner	:	POINVIL, Frantzy

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MS: RCE  
Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

SIR:

Please enter the following enclosed documents in the above-identified patent application.

1. Request for Continued Examination (RCE) Transmittal (1 page); and
2. Amendment and Response (15 pages).

Applicant claims small entity status. The Commissioner is hereby authorized to charge any fees associated with the above-identified patent application or credit any overcharges

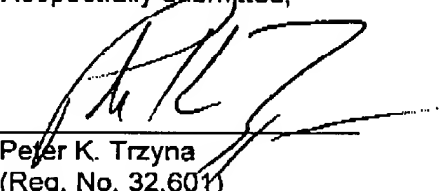
Ser. No. 09/467,646  
Art Unit: 3628  
Atty Ref. Newmrkt-P4-99

to Deposit Account No. 50-0235.

Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,

Date: April 27, 2007

  
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